

18879 U.S. PTO  
032504

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**PATENT**

ATTY DOCKET NO.: 9060-16CT5

DATE: March 25, 2004

UTILITY PATENT APPLICATION TRANSMITTAL LETTER  
AND FEE TRANSMITTAL FORM (37 CFR § 1.53(b))

Mail Stop PATENT APPLICATION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

22857 U.S. PTO  
10/809124  
032504

Sir:

Transmitted herewith for filing under 37 CFR § 1.53(b) is:

- ☐ a patent application  
☒ a Continuation ☐ a Divisional ☐ a Continuation-in-Part (CIP) Application of prior application no. 10/378,187, filed March 3, 2003, which is a continuation of prior application no.: 10/151,449, filed May 20, 2002 (now U.S. Patent No. 6,661,678, which is a continuation of prior application no. 09/812,993; filed March 20, 2001 (now U.S. Patent No. 6,400,586), which is a continuation of prior application no. 09/563,462; filed May 2, 2000 (now U.S. Patent No. 6,262,899), which is a continuation of prior application no. 08/038,469; filed March 29, 1993 (now U.S. Patent No. 6,069,412), the disclosures of which are incorporated herein by reference in their entirety.  
☐ and claims the benefit of Provisional Application No. \_\_\_\_\_; filed \_\_\_\_\_.

Inventor(s) or Application Identifier:

**William J. Raddi – Raleigh, North Carolina**

**Joseph C. Paulakonis – Chapel Hill, North Carolina**

**Robert W. Johnson, Jr. – Raleigh, North Carolina**

Entitled: POWER FACTOR CORRECTED UPS WITH IMPROVED CONNECTION OF  
BATTERY TO NEUTRAL

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Enclosed are:

1. ☒ Application Transmittal Letter and Fee Transmittal Form (*A duplicate is enclosed for fee processing*)
2. ☒ 15 pages of Specification (including 13 claims)
3. ☒ 5 sheets of Formal Drawings (35 USC 113)
4. ☒ Oath or Declaration
  - a. ☐ newly executed (*original or copy*)
  - b. ☒ copy from prior application (37 CFR 1.63(d) (*for continuation/divisional*) [Note Box 6 Below]
  - c. ☐ DELETION OF INVENTOR(S) (*Signed statement deleting inventor(s) named in the prior application*)
5. ☐ Application Data Sheet. See 37 CFR 1.76
6. ☒ Incorporation By Reference (*useable if box 4b is checked*)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

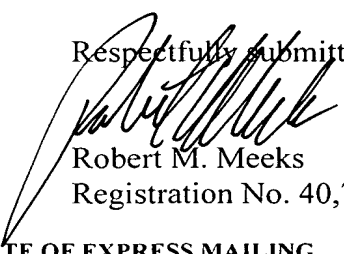
7. ☐ Microfiche Computer Program (*Appendix*)  
8. ☐ Assignment papers (*cover sheet(s) and document(s)*)  
9. ☐ Applicant claims small entity status. See 37 CFR § 1.27.  
10. ☒ Information Disclosure Statement and PTO-1449  
11. ☒ Preliminary Amendment (*Please enter all claim amendments prior to calculating the filing fee.*)  
12. ☐ English Translation Document  
13. ☐ Certified Copy of Application No. ; Filed  
14. ☐ Sequence Listing/ Sequence Listing Diskette  
a. ☐ computer readable copy  
b. ☐ paper copy  
c. ☐ statement in support  
15. ☒ An Associate Power of Attorney  
16. ☒ Return Receipt Postcard (MPEP 503) (*Should be specifically itemized*)  
17. ☐ Deletion of Inventor(s) (Signed statement attached deleting inventor(s) name in the prior application. See 37 CFR 1.63(d)(2) and 1.33(b).)  
18. ☐ Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form.  
19. ☒ Other: Request for Withdrawal as Attorney and Change of Correspondence Address

The fee has been calculated as shown below:

	Column 1 No. Filed	Column 2 No. Extra	Small Entity Rate Fee	Large Entity Rate Fee
BASIC FEE			\$385.00	\$ 770.00
TOTAL CLAIMS	12 - 20 =	0	x 09 = \$	x 18 = \$ 0
INDEP CLAIMS	6 - 3 =	3	x 43 = \$	x 86 = \$258.00
<input type="checkbox"/> MULTIPLE Dependent Claims Presented			+ 145 = \$	+ 290 = \$
<i>If the difference in Col. 1 is less than zero, Enter "0" in Col. 2</i>			Total \$	Total \$1,028.00

- ☒ A check in the amount of **\$1,028.00** to cover the filing fee is enclosed.  
☐ A check in the amount of \$ is enclosed to cover the filing fee, PLUS the Assignment Recordation fee (\$40.00).  
☐ Please charge my Deposit Account No. 50-0220 in the amount of \$  
☒ The Commissioner is hereby authorized to credit overpayments or charge the following fees associated with this communication to Deposit Account No. 50-0220:  
a. ☒ Additional filing fees under 37 CFR 1.16 for presentation of extra claims.  
b. ☒ Additional patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

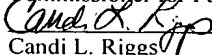
  
Robert M. Meeks  
Registration No. 40,723

**CERTIFICATE OF EXPRESS MAILING**

Express Mail Label No. EV 381442477 US

Date of Deposit: March 25, 2004

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to: Mail Stop PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

  
Candi L. Riggs